

B 2100A (Form 2100A) (12/15)

## UNITED STATES BANKRUPTCY COURT

Southern District of New York

In re Lehman Brothers Holdings Inc,

Case No. 08-13555 (JMP)

### TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

Banque de Luxembourg

Name of Transferee

Name and Address where notices to transferee should be sent:

Banque de Luxembourg  
14, Boulevard Royal L-2449 Luxembourg

Phone: +352 49924 2623

Last Four Digits of Acct #: \_\_\_\_\_

Name and Address where transferee payments should be sent (if different from above):

Banque de Luxembourg, Corp.Actions  
55, Rue des Scillas L-2529 HOWALD

Phone: +352 49924 2623

Last Four Digits of Acct #: \_\_\_\_\_

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By: Anne LEROY-ADAMS  
Corporate Actions & Income Processing

Transferee/Transferee's Agent

Colette MERTENS  
Corporate Actions

Bank Julius Baer & Co Ltd

Name of Transferor

Court Claim # (if known): 58786

Amount of Claim: 20,000.00 ~~XS0148141894~~

Date Claim Filed: 10/29/2009

Phone: +41 (0) 58 886 22 45

Last Four Digits of Acct #: \_\_\_\_\_

Date: 11/15/2018

*Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.*

**EVIDENCE OF TRANSFER OF CLAIM**

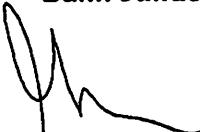
**TO: THE DEBTOR AND THE BANKRUPTCY COURT**

For value received, the adequacy and sufficiency of which are hereby acknowledged, **Bank Julius Baer & Co. Ltd.** ("Transferor") unconditionally and irrevocably transferred to **Banque de Luxembourg, 14, Boulevard Royal L-2449 Luxembourg** ("Transferee") all of its right, title, interest, claims and causes of action in and to or arising under or in connection with the portion of its claim (Claim No. 58786) in 20'000 units related to the securities with International Securities Identification Numbers listed on Schedule I hereto against Lehman Brothers Holdings, Inc. (the "Debtor"), Chapter 11 Case No.: 08-13555 (JMP) (Jointly Administered), United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court").

Transferor hereby waives any objection to the transfer of the claim to Transferee on the books and records of the Debtor and the Bankruptcy Court and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates, that an order of the Bankruptcy Court may be entered without further notice to Transferor regarding the transfer of the foregoing claim and recognizing the Transferee as the sole owner and holder of the claim. Transferor further directs the Debtor, the Bankruptcy Court and all other interested parties that all further notices relating to the claim, and all payments or distributions of money or property in respect of claim, shall be delivered or made to the Transferee.

IN WITNESS WHEREOF, this EVIDENCE OF TRANSFER OF CLAIM IS EXECUTED  
THIS 12 NOVEMBER 2018

**Bank Julius Baer & Co. Ltd.**



Marc Blum  
Executive Director



Patrik Roos  
Managing Director Senior Advisor

**Schedule I**

**Lehman Programs Securities Related to Transferred Portion of Claim:**

<b>ISIN / Description</b>	<b>Court Claim #</b>	<b>Date Claim Filed</b>	<b>Issuer</b>	<b>Units</b>
XS0248142894	58786.06	29 October 2009	Lehman Brothers Treasury Co. BV	20'000

To : United States Bankruptcy Court  
Southern District of New York  
Attn: Lehman Brothers Holdings Inc. Claims Processing Center  
c/o Epiq Bankruptcy Solutions, LLC  
757 Third Avenue, 3<sup>rd</sup> Floor  
**NEW YORK, NY 10017**  
U.S.A.

Luxembourg, November 15, 2018

**Notice of Transfer, from Bank Julius Baer & Co Ltd (the 'Transferor') to Banque de Luxembourg, S.A. (the 'Transferee'), on behalf of its client, of securities issued by Lehman Brothers (the 'Securities) and the claims n. 58786 and n. 59233 (the 'Claim')**

Dear Sirs,

Reference is made to the transfer (the 'Transfer') of the following securities to our bank, as Transferee, acting as nominee on behalf of our client.

Please find attached the following documents in order to proceed and amend the Claim register accordingly:

- 1) Form B 2100A signed by our Bank on behalf of our client
- 2) 'Evidence of Transfer of Claim' duly signed by the Transferor evidencing that the Transferor has transferred registered claims to Banque de Luxembourg S.A. on behalf of its client.

Please revert to us directly should any missing or complementary information be required by sending an e-mail to the following addresses : [corporate.actions@bdl.lu](mailto:corporate.actions@bdl.lu) and [sylvie.dasbourg@bdl.lu](mailto:sylvie.dasbourg@bdl.lu).

Yours faithfully,

  
Anne LEROY-ADAMS  
Corporate Actions & Income Processing

  
Colette MERTENS  
Corporate Actions

BANQUE DE LUXEMBOURG S.A.

**EXPRESS 10:30**

**FILLED, RECEIVED**

2018-11-16 Infratip (7.4) / 20-1410  
From : Banque de Luxembourg

Sylvie Desbbourg +352499240623  
55 rue des Sables

2529 HOWARD  
LU Luxembourg

NOV 19 2018

10:30 AM

LUX

To: UNITED STATES BANKRUPTCY COURT Contact:  
Third Avenue 757 |  
3rd Floor |  
c/o EPIQ Bankruptcy Solutions,

10017 NEW YORK New York

US United States of America

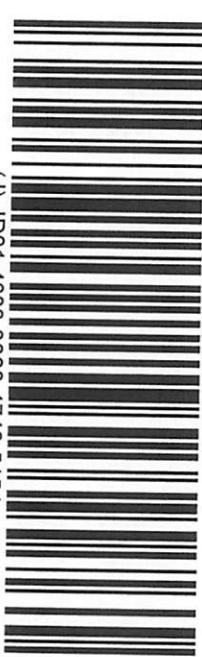
**BRU US-ZYP-TSS**

Day X10  
Time ■  
Pcs/Shpt/Weight Base  
0.50 kg 1/1  
8/07 6 19 NOV 19  
Origin: LUX

FILED / RECEIVED



(2L)US10017+35003000



(J) JD01 4600 0060 4740 5154